



Evaluation Update 16

February - March 2014

www.thepresidency-dpme.gov.za/keyfocusareas/evaluationsSite/Pages/default.aspx

Evaluation Update is a summary of progress on establishing the South African National Evaluation System, led by the Evaluation and Research Unit of the Outcomes M&E Branch of the Department of Performance M&E in the Presidency (DPME). It is intended to keep stakeholders updated on progress. These two months have seen the first evaluation after the initial pilot going to Cabinet, on Grade R, most evaluations for 2013/14 well underway, and most 2014/15 evaluations starting. A significant event was co-organising a stream on government evaluation systems at the AFREA Conference.

Evaluations being taken forward

7 of the 2012/13 evaluations are complete (so 8 completed to date), and on their way to cluster and Cabinet. Responding to the first evaluation on Early Childhood Development a revised ECD Policy has been developed incorporating most of the recommendations from the evaluation (including from the Nutrition Evaluation which has also completed) and will be taken to Cabinet soon. A total of 38 evaluations are completed, underway, or about to start. Annex 1 has the status on each of these.

Development of policies and guidelines

- The 6 guidelines on different evaluation types have been finalised and are on the website - Diagnostic Evaluation, Design Evaluation, Implementation Evaluation, Impact Evaluation, Economic Evaluation, Evaluation Synthesis.
- Other Guidelines now available - TORs for Evaluations, Peer Reviews, Inception Phase, Management Response, Improvement Plan, Communication, Provincial Evaluation Plans, Planning Implementation Programmes.
- Templates are available for Evaluation Project Plan, TORs for Evaluation Steering Committees

Capacity development

- Over the past two months we have finalised most of our National Evaluation System training courses planned for 2013/14 in partnership with the Centre for Learning on Evaluation and Results (CLEAR), with support funding from DFID. Three new provinces (North West, Free State & Limpopo) have been introduced to course 1 on Managing Evaluations and Gauteng and Western Cape have attending training on Course 2 - Deepening Evaluation. DPME also hosted a Theory of Change workshop. We managed to reach 317 government officials through our capacity development work over the past financial year.
- Course 3 on Methodology (types of evaluations) based on 5 of the 6 new guidelines is being finalised and will be piloted at national level within the next few months.
- We also successfully hosted our 1st NES annual reflection session on 19th March 2014, which proved to be highly valuable and we will draw on lessons learnt from that process to inform the NES going forward. With almost 60 participants from a range of stakeholder groups we work with ranging from government departments at national and provincial level to service providers and academics it was a highly interactive 2 day session. We are already thinking about the next one.

Box 1: AFREA Conference

The AFREA Conference was organised in Yaounde, Cameroon in March 2014. DPME participated in the event, organising two sessions on government evaluation systems with our partners in Uganda and Benin. We also each presented on an example of an evaluation, and participated in panel sessions etc. A new executive for AFREA was appointed and a new representative for Southern Africa. The Southern Africa group met and are seeking to deepen collaboration in the SADC region.

Quality assurance

- A second contract for the quality assessment of evaluations is underway, funded by DFID. 17 evaluations have been quality assessed in the year, including provincial evaluations from the Western Cape. Once completed all the evaluations scoring more than 3/5 will be put on the Evaluation Repository.

Research

- A research director has been appointed (Ms Harsha Dayal) who starts on 1 May 2014, and the first task will be to develop a strategy for the appropriate role for DPME in ensuring research is available and used to inform policy and implementation. DPME will collaborate with the Programme to Support Pro-Poor Policy Development (PSPPD), a partnership between the Presidency and the EU. In addition a pilot project has been completed looking at the time spent by Directors General, which will be expanded next year to include more DGs.
- DPME is involved in two projects under the Building Capacity for Use of Research Evidence (BCURE) also funded by DFID, one focusing on capacity development, and the other on developing tools of using evidence.

Evaluation and research evidence shared

- DPME co-organised part of the AFREA Conference in March 2014 (see box).
- Presentations were made at AFREA and a regional workshop organised by DPME and the World Bank on M&E, including Mauritius, Seychelles, Kenya, Botswana, Zambia.
- 461 visits were made on the Evaluation Repository on the DPME website from Jan to March 2014 with 234 users, 49% existing, 51% new. 422 are from SA and others are visitors from US, UK, Australia, Nigeria, France, Malaysia, Namibia, Netherlands.

Collaborative management

- **Provincial Evaluation Plans** – a workshop was held with provinces on their provincial evaluation plans. Limpopo, Free State, North West, Mpumalanga and KZN are progressing, with connections made to start work with N Cape and E Cape.
- **Panel of service providers** – efforts have been made with CSIR and the Vice Chancellors of 4 universities on the panel who are not bidding systematically to make sure the universities are prepared to respond to the calls for evaluation expected in February 2014. This has already yielded fruit.
- With 38 evaluations in progress, **monitoring** is much more complex. The evaluation tracking system is improving, now established on MS Project.
- A videoconference was held with the InterAmerican Development Bank looking at their **tracking system for evaluation recommendations**. We will build on this work in developing our system.
- DFID conducted an annual review of their support to DPME through the Strengthening Performance M&E Project (SPME). The evaluation component scored A+. Discussions are underway for support from the World Bank.
- **Staff** - Rosina Maphalla has left us to join DEA as Deputy Director Evaluation. We look forward to working with her in her new role. 2 new evaluation officers are being recruited and 2 interns.

Priorities for the next two months

- Completing the 2012/13 and 2013/14 evaluations and taking to Cabinet.
- Ensuring all 2014/15 evaluations are underway.

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Annex 1: status of evaluations as at 31 March 2014

NEP/ Reference	Name of evaluation	Department(s) responsible for the programme being evaluated	Date of contract, Service Provider undertaking evaluation	Current stage	Anticipated or actual date of receipt of final report	Anticipated or actual date of Cabinet approval or presentation to Parliament
No NEP						
2011-1 (11/11033)	Diagnostic Review of Early Childhood Development (ECD)	Social Development Basic Education Health	27 October 2011 - HSRC	Evaluation completed in June 2012. Improvement Plan completed in October 2012. First progress report on improvement plan received from DSD and second requested.	Final report approved 15 June 2012 and report on DPME website.	Presented to Basic Education Portfolio Committee in June 2013
2012-13						
2012-1 (12/0288)	Evaluation of Business Process Services Programme	Trade and Industry	3 October 2012 - Genesis Analytics	Evaluation report approved by Steering Committee. Management response received 12 August 2013. Improvement Plan completed on 18 November 2013 and awaiting approval by DG dti. Report presented at Economic Cluster on 12 Feb 2014	16 May 2013	June 2014
2012-2 (12/428)	Impact Evaluation of Grade R (reception year of schooling)	Basic Education	12 December 2012 - Stellenbosch University	Final Report approved by Steering Committee. Management response requested 29 July 2013. Improvement Plan workshop held on 10 December 2013. Cabinet approved. Not yet received Management Response & Improvement Plan.	17 June 2013	Cabinet approval 19 March 2014
2012-3 (12/0287)	Implementation Evaluation of Nutrition Programmes addressing under 5s	Health Rural Development Social Development Agriculture	06 November 2012 - Khulisa Management Services	Literature review completed. Provincial and thematic case studies approved. Final evaluation report approved.	31 March 2014	May 2014
2012-4 (12/410)	Implementation Evaluation of Land Recap- italisation and Development (RECAP) programme	Rural Development	11 December 2012 - University of Pretoria	Final report approved by Steering Committee 27 Oct 2013. Management Response and Improvement Plan received on 11 February 2014.	21 October 2013 (received)	5 March 2014 (FOSAD) June 2014 ESEID Cabinet after elections
2012-5	Implementation	Rural	11 December	Final report approved by SC 16 Oct 2013. 1 st	24 October	5 March 2014

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(12/409)	Evaluation of Comprehensive Rural Development Programme (CRDP)	Development	2012 – Impact Economix	draft management response and improvement plan received 11 th of Feb 14. Revision requested. Awaiting revision with DPME (Management plan/ Improvement plan)	2013 (received)	(FOSAD) After election ESEID (CAB committee) (After elections) CABINET
2012-6 (Procured by DHS)	Implementation Evaluation of Integrated Residential Development Programme (IRDP)	Human Settlements	ADEC (African Development Economic Consultants)	1 st draft inception report submitted for review. Work is currently underway to complete the design review phase of the evaluation. 1 st Draft Design review report to be submitted on 4 April.	August 2014	November 2014
2012-7 (Procured by DHS)	Implementation Evaluation of Urban Settlements Development Grant (USDG)	Human Settlements	PDG. SLA waiting for signature of DG DHS.	Design review has been completed. Data collection is completed. Four case study reports have been submitted. Three validation workshops for 3 metros (CT, Ekurhuleni, and Johannesburg) took place in March and Buffalo City Metro will take place on 2 April.	August 2014	November 2014
2012-8 (12/427)	Impact evaluation of National School Nutrition Programme	Basic Education	The evaluation started & it was stopped due to poor quality	First evaluation stopped and DBE requested to be dropped. Cabinet decided it should continue and included in 2014/15 Plan	N/A	N/A
Other						
2012-9 (12/0468)	Formative Evaluation of the Presidential Intervention within the King Sabata Dalindyebo Municipality (KSD)	DPME	1 March 2013 - Impact Economix	Intermediate reports submitted. Draft final report submitted. Being considered at PMU meeting in May.	May 2014	August 2014

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2013/14						
2013-1 (12/0536)	Implementation Evaluation of Government Coordination System (clusters/ MinMECs and Implementation Forums)	Presidency	20 March 2013 - Impact Economix	Draft report submitted and presented to FOSAD on 7 October 2013. Final evaluation report approved and being discussed at different clusters – so far Social, Economic. Management Response and Improvement Plan to be drafted	24 January 2014	July 2014
2013-2 (13/0836)	Implementation Evaluation of the Export Marketing Investment Assistance Incentive Programme (EMIA)	Trade and Industry	16 August 2013: DNA Economics (Pty) Ltd	Field work completed and draft Report received on 19 March 2014	May 2014 (Actual date)	August 2014
2013-3 (13/0934)	Evaluation of the Support Programme for Industrial Innovation (SPII)	Trade and Industry	4 October 2013 Genesis Analytics	Draft Evaluation Report received on 18 December 2013. Stakeholder workshop on draft report 21 January 2014. Revised Report received 28 March 2014.	28 March 2014	July 2014
2013-4 (13/0933)	Evaluation of Technology and Human Resources for Industry Prog- ramme (THRIP)	Trade and Industry	11 Oct 2013 Business Enterprises and University of Pretoria	Fieldwork Report received on 27 March 2014 and circulated to the Steering Committee for comments	8 May 2014	August 2014
2013-5 (13/0856)	Evaluation of Military Veter-ans Economic Empowerment and Skills Transferability and Recognition	Military Veterans	28 August 2013 Singizi Consulting	Evaluation behind schedule. Draft Evaluation report has been delivered in February 2014. Initial feedback has been provided on the draft report. The draft final evaluation report has been submitted for review and feedback..	April 2014	June 2014

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	Programme					
2013-6	Evaluation of Advanced Manufacturing Technology Strategy (AMTS)	Science and Technology	3 March 2014 Business Enterprises (University of Pretoria)	Evaluation behind schedule. Draft literature review submitted for feedback, as well as draft data analysis plan. Initial workshop on theory of change and logframe have taken place. Data collection scheduled for April 2014.	July 2014	October 2014
2013-7 (13/0926)	Evaluation of Tax compliance cost of small businesses	SA Revenue Service	11 November 2014 Genesis Analytics	Evaluation behind schedule due to internal SARS issues. All qualitative research is completed (individual interviews, tax practitioner and SMME focus groups). The tax practitioner survey link has been distributed to relevant associations and bodies. The small business survey was to be circulated by SARS on 31 March 2014 after internal approval processes were finalised. By end-April the two surveys are scheduled to be closed.	May 2014	August 2014
2013-8	Evaluation of Community Work Programme (CWP)	Cooperative Governance	SLA to be signed April 2014. Southern Hemisphere	Evaluation delayed due to issues around CWP. Service Provider appointed, and due to start evaluation in April 2014.	August 2014	November 2014
2013-9 (13/0766)	Evaluation of Land Restitution Programme	Rural Development and Land Reform	28 June 2013 Genesis Analytics	Final evaluation report approved by steering committee 28 February 2014. Management response and quality assessment requested 11 March.	18 February 2014	Economic Cluster 4 June 2014 Cabinet July
2013-10 (13/0958)	Evaluation of Comprehensive Agricultural Support Programme (CASP)	Agriculture, Forestry and Fisheries	6 September 2013 Business Enterprises at University of Pretoria..	Pilot undertaken 17-21 Feb 2014. 1 st draft report due 6 June 2014	31 July 2014	Cluster August 2014 Cabinet September
2013-11	Evaluation of Upgrading of informal Settlement	Human Settlements	27 March 2014 HSRC	SLA has been concluded. SP is currently consolidating information to finalise their methodology. An information sharing session by DHS, DPME, NUSP and municipalities was	August 2014	November 2014

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				held in Feb. sharing available information and data related to informal settlements. SP currently busy with the inception report.		
2013-12	Evaluation of Access to the City	Human Settlements	Not yet appointed	ToRs previously tentatively approved by SC. However DHS wants to review the ToRs. Meetings were held with DHS to unlock the evaluation. Comments were made on existing ToRs and there is a plan to rework them during the month of April.	November 2014	February 2015
2013-13	Evaluation of Provision of State Subsidised Housing (Assets)	Human Settlements	Not signed yet. University of Free State	Inception report submitted and presented to Steering Committee plus a conceptual framework for understanding assets in housing. Field work currently on hold and SP is waiting for SLA to be signed before they continue. Negotiations held between DHS and SP to resolve the SLA blockage.	June 2014	September 2014
2013-14 (13/1010)	Implementation Evaluation of the Outcomes System	DPME	8 January 2014 HSRC	Literature review and instruments submitted. Methodology workshop held 7 February 2014.	July 2014	October 2014
2013-15 (13/0812)	Impact Assess- ment of the Micro Agric-ultural Financial Institution of SA (MAFISA)	Agriculture	5 August 2013 Business Enterprises - University of Pretoria	Stakeholder consultation on findings of the report held 14 March 2014. Service provider in the process of incorporating steering committee comments. Final report version 1 is due 11 April 2014	06 May 2014	August 2014
2014/15						
2014-1	Impact Evaluation of the Social Housing Programme (SHP)	DHS	Not yet advertised	Currently busy with the ToRs. 1 st draft discussed by steering committee. Evaluation delayed due to DHS splitting the ToRs into two focus areas: Restructuring Zones and Social Housing Institutions and Sector Review. A working session between DHS and DPME was held to resolve the matter. The matter has not been resolved.	November 2014	February 2015

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2014-2 (13/1712)	Diagnostic Evaluation /Programme Audit for Violence Against Women and Children (VAWC)	DSD	2 nd Call for proposals went out	ToRs were re-advertised. SP presentations were 14 March 2014 and process is underway to appoint a successful service provider.	October 2014	February 2015
2014-3	Diagnostic Review of the Social Sector Expanded Public Works Programme	DSD	Not yet advertised	ToRs approved by SC and work is currently underway to procure a SP.	October 2014	February 2015
2014-4	Design Evaluation of the Policy on Community Colleges (PCC)	DHET	Not yet appointed	Evaluation TORs approved by the Steering Committee. Bids too high and the evaluation will be re-advertised in a new Call For Proposals April 2014.	June 2014	September 2014
2014-5	Evaluation of the Indigenous Knowledge Systems Policy (IKSP)	DST	Not yet appointed	Call For Proposals to be issued in April 2014. Evaluation is expected to kick off in April 2014.	November 2014	February 2014
2014-6	Impact Evaluation of the Funza- Lushaka-Bursary Scheme	DBE	Not yet appointed	Call For Proposals issued February 2014. Appointment of a service provider is likely April 2014.	October 2014	December 2014
2014-7	Economic Evaluation of the incremental investment into the SAPS Forensic Services	SAPS	Not yet signed	Compulsory briefing held 25 March 2014	March 2015	May 2015
2014-8	Implementation Evaluation of	DPME	Not yet signed	Inception meeting held 31 March 2013. Revised proposal expected 16 April 2014.	December 2014	February 2015

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	Management Performance Assessment Tool (MPAT)					
2014-9	Implementation/Impact Evaluation of Effectiveness of Environmental Governance in the Mining Sector	Environmental Affairs	Not yet signed	Compulsory briefing held 20 March 2014	May 2015	July 2015
2014-10	Implementation Evaluation of the Ilima Letsema Programme	Agriculture, Forestry and Fisheries (DAFF), Rural Development and Land Reform (DRDLR)	Not yet signed	Steering committee to approve the TOR on 10 April 2014	December 2014	January 2015
2014-11	Impact evaluation of MAFISA (quantitative)	Agriculture, Forestry and Fisheries	Not yet signed	3ie sent out call for submission and now in the process of reviewing the submission. Few submissions received. 3ie may resend a call for submission again.	3ie to confirm 2 year evaluation	Unknown at this stage
2014-12	Policy Evaluation of Small Farmer Support	Agriculture, Forestry and Fisheries /Rural Development and Land Reform		Steering committee meeting to approve the TOR 10 April 2014	15 Dec 2014	March 2015
2014-13	Impact Evaluation of Land Restitution Programme	Rural Development and Land Reform		3ie sent out call for submission and now in the process of reviewing the submission. Few submissions, received 3ie might resend a call for submission again.	3ie to confirm 2year evaluation	Unknown at this stage
2014-14	Implementation/Impact Evaluation of Departmental Strategic Planning and	DPME		Initial consultations are being prepared towards development of the evaluation concept. Meeting to plan the evaluation scheduled for April 2014.	November 2014	February 2015

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	APP Process					
2014-15	Implementation/Impact Evaluation of the National School Nutrition Programme	Department of Basic Education		Two workshops held to draft Theory of Change and TORs	Not clear yet	Not clear yet

Process after an approved final report

Note that once the evaluation report is approved as factually correct and methodologically sound by the Evaluation Steering Committee, the DG of DPME writes officially to the relevant DGs requesting a management response on the evaluation report within one month, and that work should start on the Improvement Plan. After this month a presentation on the evaluation findings is made to the relevant cluster, and then on to Cabinet. Once Cabinet has approved a letter is written to the relevant portfolio committee indicating that the evaluation has completed and they may want the department to present on the findings. The Improvement Plan addressing the findings should be completed within a maximum of 4 months of the approval of the report by the Steering Committee.